

**Colorado Oil and Gas Conservation
Commission**

2020 Annual Violations and Penalties Report

Under Executive Order No. D 2013-004

December 10, 2020

Introduction

Executive Order D 2013-004, issued by Governor John W. Hickenlooper on May 8, 2013, directed the Colorado Oil and Gas Conservation Commission (“Commission”) to review its enforcement program, penalty structure, and imposition of fines, and by December 10 each year, report “on all violations, any and all penalties imposed regarding violations, and the rationale for the calculation of final penalty assessments, including fines.”

This report satisfies the annual requirement to report on violations and penalties described above.

This Report provides statistics at the Notice of Alleged Violation (“NOAV”) level and at the Enforcement Order level. Contents include the following tables:

1. 2020 Commission Enforcement Orders Summary
2. 2020 Commission Enforcement Orders
3. 2020 Commission Notices of Alleged Violation Summary
4. 2020 Commission Notices of Alleged Violation

Enforcement Order statistics are based on 2020 Commission hearings through November 30, 2020.

NOAV statistics are based on NOAVs issued from January 1, 2020, through November 30, 2020.

Additional Commission hearings will likely be held in December, 2020. A supplemental report covering all enforcement orders and NOAVs issued in 2020 will be posted to the Commission’s website in January 2020.

2020 Commission Enforcement Orders Report

Table 1 summarizes all Commission Enforcement Orders issued in 2020, through November 30, 2020. Commission Enforcement Orders issued in 2020 resolved NOAVs issued during the current or previous years. A Commission Enforcement Order results from approval of an Order Finding Violation (“OFV”) after a hearing or approval of an Administrative Order by Consent (“AOC”) that Commission Staff and the operator negotiated.

Table 2 lists information specific to each Enforcement Order, including the violations alleged in each underlying NOAV; total penalty imposed; and factors that contributed to the penalty calculation.

Table 1: 2020 Commission Enforcement Orders Summary

Total Orders		33
	AOCs	27
	OFVs	6
	Other (Bond Foreclosures)	NA
Total No. of NOAVs Resolved by Orders		49
Total No. of Cited Violations Resolved		76
Orders with Penalties		33
Orders without Penalties		0
	Total Penalties	\$25,305,893
	Suspended Penalties	\$83,500
	Penalties Imposed on Defunct and/or Non-Responsive Operator	\$5,166,311
	Maximum Penalty	\$18,250,000
	Minimum Penalty	\$900
	Average Penalty	\$766,845
	Median Penalty	\$43,600

Table 2: 2020 Commission Enforcement Orders

Includes Orders through November 30, 2020

Operator	Operator #	NOAV Nos.	NOAV Date	Violations in Order	Penalty (Total)	Penalty Imposed on Defunct and/or Non-Responsive Operator (Y/N)	Penalty (Suspended)	Penalty Days	Daily Penalty	Aggravating Factors	Mitigating Factors	Pattern of Violation (Y/N)	Gross Negligence or Knowing & Willful misconduct (Y/N)	AOC or OFV	Other Rationale	Docket No.	Order No. (1V)	Final Order Date
Bargath LLC	10128	402277049	1/9/2020	324A.b; 605.d; 907.a	\$28,000	N	N	3	\$9,333	N	2	N	N	AOC	Settlement Inducement	200600159	744	10/12/2020
Bramwell Richard J & Darla L	9735	401941742	2/15/2019	1102.g.; 210.b.; 603.f.	\$205,470	Y	N	2256	\$92	N	N	N	N	OFV	Domestic Well; Duration Matrix; Financial Assurance Foreclosure; Inability to Pay	200300066	720	6/10/2020
Caerus Piceance LLC	10456	402078586	6/18/2019	205A	\$900	N	N	5	\$180	N	N	N	N	AOC	Settlement Inducement	200300085	721	7/15/2020
Caerus Piceance LLC	10456	401939600	2/14/2019	C.R.S. 34-60-121(1)	\$43,600	N	N	13	\$3,353	N	N	N	N	AOC	Settlement Inducement; Duration Matrix	191200686	734	9/30/2020
Chevron USA Inc.	16700	402319636	2/27/2020	324A.a; 324A.b; 605.d; 907.a; 1102.c	\$31,500	N	N	5	\$6,300	N	N	N	N	AOC	Settlement Inducement	200600158	745	11/29/2020
Cinnamon Creek Oil & Gas Inc.	17210	401945489	2/28/2019	912.b; 912.c	\$200,070	N	N	748	\$267	N	N	N	N	AOC	Duration Matrix; Suspended Penalty; Inability to Pay	190900533	713	2/13/2020
Citation Oil & Gas Corp.	17180	401939601	2/14/2019	912.b.; 605.d.	\$30,000	N	N	6	\$5,000	N	N	N	N	AOC	Settlement Discount	191200687	717	5/20/2020
Crestone Peak Resources Operating LLC	10633	402156553; 402156572; 402156579	8/27/2019	C.R.S. 34-60-121(1)	\$11,042	N	N	167	\$66	N	N	N	N	AOC	Rule Reclassification; Duration Matrix	200800214	743	11/25/2020
Crestone Peak Resources Operating LLC	10633	402156519; 402156534; 402156546; 402156549	8/27/2019	C.R.S. 34-60-121(1)	\$15,987	N	N	1296	\$12	N	N	N	N	AOC	Rule Reclassification; Duration Matrix	200700193	742	11/25/2020
Crestone Peak Resources Operating LLC	10633	402078588	6/18/2019	205A	\$17,612	N	N	617	\$29	N	N	N	N	AOC	Settlement Inducement; Duration Matrix	200300086	729	7/21/2020
Crestone Peak Resources Operating LLC	10633	401941746	2/15/2019	C.R.S. 34-60-121(1)	\$141,903	N	N	372	\$381	N	N	N	N	AOC	Settlement Inducement; Duration Matrix	191200758	733	9/29/2020
Dakota Exploration LLC	10354	401411478; 401509601	9/25/2017; 2/09/2018	309; 326.b; 522.f; 605.a; 1102.d; 34-60-121, C.R.S.	\$593,631	Y	N	3592	\$165	1, 4	N	N	Y	OFV	Duration Matrix; Consolidation of Violations; Inability to Pay; Financial Assurance Foreclosure; Commission Hearing	190400266	712	3/25/2020
EOG Resources Inc.	97810	401781737	10/5/2018	326.b; 326.f(1)	\$163,695	N	N	847	\$193	N	N	N	N	AOC	Settlement Inducement; Duration Matrix	200200045	741	10/8/2020
Extraction Oil & Gas Inc.	10459	402078590	6/18/2019	205A	\$6,349	N	N	357	\$18	N	N	N	N	AOC	Settlement Inducement; Duration Matrix	200300087	722	7/15/2020
Herman L Loeb LLC	51065	401939604; 401939607; 401939608	2/14/2019	210.b; 912.b	\$143,100	N	N	20	\$7,155	N	N	N	N	AOC	Duration Matrix; Settlement Inducement; Consolidation of Violations	190900532	708	2/26/2020

Highpoint Operating Corporation	10071	402191139	9/27/2019	326.f.	\$85,500	N	N	50	\$1,710	N	N	N	N	AOC	Duration Matrix; Settlement Discount	191200757	716	5/25/2020
Highpoint Operating Corporation	10071	401562889; 401562901	3/07/2018; 3/03/2018	1004.a	\$110,430	N	N	790	\$140	N	N	N	N	AOC	Duration Matrix; Settlement Inducement	191200691	714	2/26/2020
Huntington Energy LLC	42300	401729881	8/17/2018	210.b.	\$37,750	N	\$22,750	41	\$2,500	N	N	N	N	AOC	Suspended Penalty	200300070	731	6/24/2020
KCPI LLC	10455	401597129	4/17/2018	1003.d.; 1004.a.	\$147,980	Y	N	2,054	\$72	N	N	N	N	OFV	Commission Hearing; Duration Matrix; Policy Table Values; Statutory Maximum	190600497	706	2/13/2020
Kerr-McGee Oil & Gas Onshore LP	47120	402009800	3/12/2020	324A.a.; 605.d.; 1102.a.; 1103	\$18,250,000	N	N	4380	\$4,167	8	N	N	N	AOC	Commission Hearing; Statutory Maximum; Public Project	200300060	709	4/13/2020
KP Kauffman Company, Inc.	46290	402139759	8/9/2019	906.b.(1); 906.b.(2); 906.c.(2)	\$42,500	N	\$38,250	17	\$2,500	N	N	N	N	AOC	Settlement Inducement; Duration Matrix; Other	191100719	728	8/18/2020
KP Kauffman Company, Inc.	46290	402206840	10/16/2019	906.b.(1).A.; 906.b.(2).;	\$25,000	N	\$22,500	10	\$2,500	N	N	N	N	AOC	Suspended Penalty; Other	200300062	725	6/10/2020
Mallard Exploration LLC	10670	402206572	10/11/2019	606.A.h.	\$15,000	N	N	1	\$15,000	8	N	N	N	AOC	Statutory Maximum	191100720	718	5/29/2020
Noble Energy, Inc.	100322	401733265	8/14/2018	303.b	\$43,337	N	N	423	\$102	N	2	N	N	AOC	Duration Matrix; Settlement Inducement	190600392	710	2/19/2020
Noble Energy, Inc.	100322	402078596	6/18/2019	205A	\$148,744	N	N	12,584	\$12	N	N	N	N	AOC	Settlement Inducement; Duration Matrix	200300088	735	9/17/2020
Noble Energy, Inc.	100322	402067046	6/7/2019	606.A.h.	\$10,000	N	N	1	\$10,000	N	N	N	N	AOC		191200756	719	5/20/2020
PetroShare Corp	10454	401710231; 401755067; 401755039; 402283894	7/23/2018; 9/6/2018	605.c., 905., 906.b., 906.c., 1002.f.	\$726,100	Y	N	2293	\$317	N	N	N	N	OFV	Duration Matrix; Financial Assurance Foreclosure; Commission Hearing	190700482; 190700483; 190700484	726	6/10/2020
Petrox Resources Inc.	69805	401605720	4/12/2018	605.a.(4); 906.b.	\$79,975	N	N	57	\$1,403	N	N	N	N	AOC	Duration Matrix; Settlement Inducement	200300061	724	9/7/2020
SandRidge Exploration & Production LLC	10598	402078602	6/18/2019	205A	\$1,620	N	N	9	\$180	N	N	N	N	AOC	Settlement Inducement	200300090	727	7/21/2020
Sandridge Exploration & Production LLC	10598	402127134; 402127132; 402127126; 402127124	7/30/2019	912.b	\$449,650	N	N	78	\$5,764	N	N	N	N	AOC	Settlement Inducement; Duration Matrix	200200044	739	10/6/2020
Santa Fe Natural Resources Inc.	10525	401781676; 401811699; 401811697	10/5/2018; 10/26/2018	210.b., 309., 326.b., 603.f., 902.c., 903., 906.a., 1003.b., 1103.	\$1,237,860	Y	N	9,865	\$125	N	N	N	N	OFV	Duration Matrix; Financial Assurance Foreclosure; Commission Hearing	191000610	715	5/29/2020
Springdale Partners LLC	10487	402236898	11/12/2019	210.b.; 326.a.; 603.f.; 605.a.(4)	\$2,255,270	Y	N	568	\$3,971	N	N	N	N	OFV	Financial Assurance Foreclosure; Duration Matrix	200300064	732	8/11/2020
SRC Energy Inc.	10311	402078603	6/18/2019	205A	\$6,318	N	N	47	\$134	N	N	N	N	AOC	Settlement Inducement; Duration Matrix	200300089	723	7/15/2020

Total Penalties Assessed \$25,305,893

A. Aggravating Factors

\$43,600

1. The violator acted with gross negligence or knowing and willful misconduct.
2. The violation resulted in significant waste of oil and gas resources.
3. The violation had a significant negative impact on correlative rights of other parties.
4. The violator was recalcitrant or uncooperative with the Commission or other agencies in correcting or responding to the violation.

B. Mitigating Factors

1. The violator self-reported the violation.
2. The violator demonstrated prompt, effective and prudent response to the violation, including assistance to any impacted parties.
3. The cause of the violation was outside of the violator's reasonable control and responsibility, or is customarily considered to be force majeure.
4. The violator made a good faith effort to comply with applicable requirements prior to the Commission learning of the violation

5. The violator falsified reports or records.
6. The violator benefited economically from the violation, in which case the amount of such benefit shall be taken into consideration.
7. The violator has engaged in a pattern of violations.
8. The violation led to death or serious injury.

5. The cost of correcting the violation reduced or eliminated any economic benefit to the violator, excluding circumstances in which increased costs stemmed from non-compliance.
6. The violator has demonstrated a history of compliance with the Act, and Commission rules, orders, and permits.

2020 Commission Notices of Alleged Violation Report (Through November 30, 2020)

Table 3 summarizes all Notices of Alleged Violation (“NOAVs”) the Commission issued from January 1, 2020, through November 30, 2020, and indicates whether an NOAV has been resolved (“closed”) or is still pending (“open”). For each 2020 NOAV, Table 4 indicates whether it is open or closed, and as appropriate, whether a penalty was imposed, the penalty amount, and other relevant information. Withdrawn NOAVs are not included in the statistics.

The following statistics are for those NOAVs issued as of November 30, 2020

Table 3: 2020 Commission Notices of Alleged Violation Summary

Total NOAVs Issued (1/1/2020 through 11/30/2020) (Does not include withdrawn NOAVs)	282
Total Open	278
Total Closed	4
	(1.4 % Of 2020 Total Resolved)
Resolved by Order With Penalty	4
Resolved by Order Without Penalty	0
Resolved Without Penalty	0
Total Penalties Assessed	\$19,035,600
Maximum Penalty Assessed	\$18,250,000
Minimum Penalty Assessed	\$28,000
Average Penalty Assessed	\$4,758,900
Median Penalty Assessed	\$378,800

Table 4: 2020 Commission Notices of Alleged Violation

NOAVs Issued from January 1, 2020 through November 30, 2020

	NOAV No.	NOAV Date	Operator Name	Closed (Y/N)	Docket No.	Order No. (1V-)	Penalty (Y/N)	Penalty Amt.	Resolve Date
1	402283787	1/14/2020	31 OPERATING	N	*	NA	NA	NA	NA
2	402528799	11/9/2020	31 OPERATING	N	*	NA	NA	NA	NA
3	402418534	6/12/2020	8 NORTH LLC	N	*	NA	NA	NA	NA
4	402429508	6/26/2020	8 NORTH LLC	N	*	NA	NA	NA	NA
5	402418474	6/12/2020	ABILENE PERFECT ENERGY JV LLC	N	*	NA	NA	NA	NA
6	402429524	6/26/2020	ABILENE PERFECT ENERGY JV LLC	N	*	NA	NA	NA	NA
7	402453527	8/18/2020	ABILENE PERFECT ENERGY JV LLC	N	*	NA	NA	NA	NA
8	402453570	8/18/2020	ALAMOSA DRILLING INC	N	*	NA	NA	NA	NA
9	402494282	9/22/2020	ALAMOSA DRILLING INC	N	*	NA	NA	NA	NA
10	402494776	9/22/2020	ALAMOSA DRILLING INC	N	*	NA	NA	NA	NA
11	402494778	9/22/2020	ALAMOSA DRILLING INC	N	*	NA	NA	NA	NA
12	402418570	6/12/2020	ALANCO ENERGY SERVICES INC	N	*	NA	NA	NA	NA
13	402429494	6/26/2020	ALANCO ENERGY SERVICES INC	N	*	NA	NA	NA	NA
14	402453585	8/18/2020	ALANCO ENERGY SERVICES INC	N	*	NA	NA	NA	NA
15	402524647	11/3/2020	ALTA MESA SERVICES LP	N	*	NA	NA	NA	NA
16	402319237	2/27/2020	ANDEAVOR FIELD SERVICES LLC	N	200700200	NA	NA	NA	NA
17	402283800	1/14/2020	ARCH OIL & GAS LLC	N	*	NA	NA	NA	NA
18	402409873	6/2/2020	ARCH OIL & GAS LLC	N	*	NA	NA	NA	NA
19	402418488	6/12/2020	ARCH OIL & GAS LLC	N	*	NA	NA	NA	NA
20	402429565	6/26/2020	ARCH OIL & GAS LLC	N	*	NA	NA	NA	NA
21	402453627	8/18/2020	ARCH OIL & GAS LLC	N	*	NA	NA	NA	NA
22	402283801	1/14/2020	ARGALI EXPLORATION COMPANY	N	*	NA	NA	NA	NA
23	402409936	6/2/2020	ARGALI EXPLORATION COMPANY	N	*	NA	NA	NA	NA
24	402418569	6/12/2020	ARGALI EXPLORATION COMPANY	N	*	NA	NA	NA	NA
25	402429541	6/26/2020	ARGALI EXPLORATION COMPANY	N	*	NA	NA	NA	NA
26	402453594	8/18/2020	ARGALI EXPLORATION COMPANY	N	*	NA	NA	NA	NA
27	402418571	6/12/2020	AUSMUS & CLARK OIL	N	*	NA	NA	NA	NA
28	402429497	6/26/2020	AUSMUS & CLARK OIL	N	*	NA	NA	NA	NA
29	402453606	8/18/2020	AUSMUS & CLARK OIL	N	*	NA	NA	NA	NA
30	402277049	1/3/2020	BARGATH LLC	Y	200600159	744	Y	\$28,000	10/12/2020
31	402453437	9/2/2020	BAYSWATER EXPLORATION & PRODUCTION LLC	N	*	NA	NA	NA	NA
32	402388813	5/4/2020	BECCA OIL LLC	N	*	NA	NA	NA	NA
33	402418467	6/16/2020	BERRY PETROLEUM COMPANY LLC	N	*	NA	NA	NA	NA
34	402429533	6/26/2020	BERRY PETROLEUM COMPANY LLC	N	*	NA	NA	NA	NA
35	402453597	8/18/2020	BERRY PETROLEUM COMPANY LLC	N	*	NA	NA	NA	NA
36	402502012	10/1/2020	BERRY PETROLEUM COMPANY LLC	N	*	NA	NA	NA	NA
37	402418540	6/12/2020	BIG RUN PRODUCTION COMPANY	N	*	NA	NA	NA	NA
38	402429510	6/26/2020	BIG RUN PRODUCTION COMPANY	N	*	NA	NA	NA	NA
39	402453580	8/18/2020	BIG RUN PRODUCTION COMPANY	N	*	NA	NA	NA	NA
40	402259470	1/9/2020	BISON OIL & GAS II LLC	N	201000251	NA	NA	NA	NA
41	402429180	6/23/2020	BLACK RESOURCES, INC	N	*	NA	NA	NA	NA
42	402409978	6/2/2020	BONANZA CREEK ENERGY OPERATING COMPANY LLC	N	*	NA	NA	NA	NA
43	402418510	6/12/2020	BOOCO'S CONTRACT SERVICES INC	N	*	NA	NA	NA	NA
44	402245888	1/16/2020	BP AMERICA PRODUCTION COMPANY	N	*	NA	NA	NA	NA
45	402287398	1/16/2020	BP AMERICA PRODUCTION COMPANY	N	*	NA	NA	NA	NA
46	402472476	8/20/2020	BP AMERICA PRODUCTION COMPANY	N	*	NA	NA	NA	NA
47	402391507	5/8/2020	BRUCE* JACKIE	N	*	NA	NA	NA	NA
48	402283804	1/14/2020	CAERUS PICEANCE LLC	N	*	NA	NA	NA	NA
49	402418487	6/12/2020	CAERUS PICEANCE LLC	N	*	NA	NA	NA	NA
50	402403168	5/29/2020	CALCO DBA CALLISTER CO	N	*	NA	NA	NA	NA
51	402418499	6/12/2020	CATAMOUNT ENERGY PARTNERS LLC	N	*	NA	NA	NA	NA
52	402429504	6/26/2020	CATAMOUNT ENERGY PARTNERS LLC	N	*	NA	NA	NA	NA
53	402287790	1/16/2020	CHEVRON USA INC	N	200800216	NA	NA	NA	NA
54	402319636	2/27/2020	CHEVRON USA INC	Y	200600158	745	Y	\$31,500	11/29/2020
55	402283811	1/14/2020	CHOLLA PETROLEUM INC	N	*	NA	NA	NA	NA
56	402418509	6/12/2020	CHOLLA PETROLEUM INC	N	*	NA	NA	NA	NA
57	402429513	6/26/2020	CHOLLA PETROLEUM INC	N	*	NA	NA	NA	NA
58	402453646	8/18/2020	CHOLLA PETROLEUM INC	N	*	NA	NA	NA	NA
59	402287614	1/16/2020	CHURCHILL ENERGY INC	N	200700206	NA	NA	NA	NA
60	402418538	6/12/2020	CHURCHILL ENERGY INC	N	*	NA	NA	NA	NA
61	402429511	6/26/2020	CHURCHILL ENERGY INC	N	*	NA	NA	NA	NA
62	402453555	8/18/2020	CHURCHILL ENERGY INC	N	*	NA	NA	NA	NA
63	402283814	1/14/2020	CITATION OIL & GAS CORP	N	*	NA	NA	NA	NA
64	402359314	4/14/2020	CITATION OIL & GAS CORP	N	*	NA	NA	NA	NA
65	402410066	6/2/2020	CRESTONE PEAK RESOURCES OPERATING LLC	N	*	NA	NA	NA	NA
66	402418468	6/12/2020	CRESTONE PEAK RESOURCES OPERATING LLC	N	*	NA	NA	NA	NA
67	402429542	6/26/2020	CRESTONE PEAK RESOURCES OPERATING LLC	N	*	NA	NA	NA	NA
68	402453541	8/18/2020	CRESTONE PEAK RESOURCES OPERATING LLC	N	*	NA	NA	NA	NA
69	402418552	6/12/2020	CWC PETRO LLC	N	*	NA	NA	NA	NA
70	402429544	6/26/2020	CWC PETRO LLC	N	*	NA	NA	NA	NA
71	402453551	8/18/2020	CWC PETRO LLC	N	*	NA	NA	NA	NA
72	402485344	9/9/2020	CWC PETRO LLC	N	*	NA	NA	NA	NA
73	402513733	10/19/2020	CWC PETRO LLC	N	*	NA	NA	NA	NA
74	402283820	1/14/2020	D J SIMMONS INC	N	*	NA	NA	NA	NA
75	402283821	1/14/2020	D90 ENERGY LLC	N	*	NA	NA	NA	NA
76	402359975	4/14/2020	D90 ENERGY LLC	N	*	NA	NA	NA	NA
77	402360062	4/14/2020	D90 ENERGY LLC	N	*	NA	NA	NA	NA
78	402403817	5/29/2020	DOVER ATWOOD CORPORATION	N	*	NA	NA	NA	NA
79	402418554	6/12/2020	DOVER ATWOOD CORPORATION	N	*	NA	NA	NA	NA
80	402418519	6/12/2020	EAST CHEYENNE GAS STORAGE LLC	N	*	NA	NA	NA	NA
81	402418486	6/12/2020	EASTCO OPERATING LLC	N	*	NA	NA	NA	NA
82	402418561	6/12/2020	ENDURING RESOURCES LLC	N	*	NA	NA	NA	NA
83	402506688	10/8/2020	ENERGY INVESTMENT PARTNERS LLC	N	*	NA	NA	NA	NA
84	402410087	6/2/2020	ENERPLUS RESOURCES (USA) CORPORATION	N	*	NA	NA	NA	NA

	NOAV No.	NOAV Date	Operator Name	Closed (Y/N)	Docket No.	Order No. (1V-)	Penalty (Y/N)	Penalty Amt.	Resolve Date
85	402418574	6/12/2020	ENERPLUS RESOURCES (USA) CORPORATION	N	*	NA	NA	NA	NA
86	402283822	1/14/2020	EVERGREEN NATURAL RESOURCES LLC	N	*	NA	NA	NA	NA
87	402402500	6/10/2020	EXTRACTION OIL & GAS INC	N	*	NA	NA	NA	NA
88	402418513	6/12/2020	EXTRACTION OIL & GAS INC	N	*	NA	NA	NA	NA
89	402537379	11/20/2020	EXTRACTION OIL & GAS INC	N	*	NA	NA	NA	NA
90	402404221	5/29/2020	FAHEY OIL & GAS	N	*	NA	NA	NA	NA
91	402418544	6/12/2020	FAHEY OIL & GAS	N	*	NA	NA	NA	NA
92	402429573	6/26/2020	FAHEY OIL & GAS	N	*	NA	NA	NA	NA
93	402283826	1/14/2020	FOUNDATION ENERGY MANAGEMENT LLC	N	*	NA	NA	NA	NA
94	402282257	1/16/2020	FOUNDATION ENERGY MANAGEMENT LLC	N	*	NA	NA	NA	NA
95	402282292	1/16/2020	FOUNDATION ENERGY MANAGEMENT LLC	N	*	NA	NA	NA	NA
96	402282327	1/16/2020	FOUNDATION ENERGY MANAGEMENT LLC	N	*	NA	NA	NA	NA
97	402286402	1/16/2020	FOUNDATION ENERGY MANAGEMENT LLC	N	*	NA	NA	NA	NA
98	402287459	1/16/2020	FOUNDATION ENERGY MANAGEMENT LLC	N	201000257	NA	NA	NA	NA
99	402319234	6/10/2020	FOUNDATION ENERGY MANAGEMENT LLC	N	*	NA	NA	NA	NA
100	402418465	6/12/2020	FOUNDATION ENERGY MANAGEMENT LLC	N	*	NA	NA	NA	NA
101	402453590	8/18/2020	FOUNDATION ENERGY MANAGEMENT LLC	N	*	NA	NA	NA	NA
102	402283830	1/14/2020	FRAM OPERATING LLC	N	*	NA	NA	NA	NA
103	402418492	6/12/2020	FRAM OPERATING LLC	N	*	NA	NA	NA	NA
104	402429498	6/26/2020	FRAM OPERATING LLC	N	*	NA	NA	NA	NA
105	402453578	8/18/2020	FRAM OPERATING LLC	N	*	NA	NA	NA	NA
106	402527422	11/6/2020	GENESIS GAS & OIL COLORADO LLC	N	*	NA	NA	NA	NA
107	402453567	8/18/2020	GEOTECH PRODUCTION INC	N	*	NA	NA	NA	NA
108	402418516	6/12/2020	GILS HOT OIL SERVICE	N	*	NA	NA	NA	NA
109	402429575	6/26/2020	GOODWIN ENERGY MANAGEMENT LLC	N	*	NA	NA	NA	NA
110	402453651	8/18/2020	GOODWIN ENERGY MANAGEMENT LLC	N	*	NA	NA	NA	NA
111	402287719	1/16/2020	GORDON ENGINEERING INC	N	200700206	NA	NA	NA	NA
112	402410104	6/2/2020	GREAT WESTERN OPERATING COMPANY LLC	N	*	NA	NA	NA	NA
113	402418484	6/12/2020	GREAT WESTERN OPERATING COMPANY LLC	N	*	NA	NA	NA	NA
114	402453617	8/18/2020	GRMR OIL & GAS LLC	N	*	NA	NA	NA	NA
115	402287805	1/16/2020	GULF EXPLORATION LLC	N	200700208	NA	NA	NA	NA
116	402283836	1/14/2020	GUNNISON ENERGY LLC	N	*	NA	NA	NA	NA
117	402418546	6/12/2020	HARBURG* RUDOLPH W	N	*	NA	NA	NA	NA
118	402465163	8/12/2020	HARBURG* RUDOLPH W	N	*	NA	NA	NA	NA
119	402453547	8/18/2020	HARBURG* RUDOLPH W	N	*	NA	NA	NA	NA
120	402419767	6/12/2020	HELENA RESOURCES INC	N	*	NA	NA	NA	NA
121	402283838	1/14/2020	HIGHPOINT OPERATING CORPORATION	N	200700195	NA	NA	NA	NA
122	402429486	6/26/2020	HIGHPOINT OPERATING CORPORATION	N	*	NA	NA	NA	NA
123	402283844	1/14/2020	HILCORP ENERGY COMPANY	N	*	NA	NA	NA	NA
124	402410114	6/2/2020	HILCORP ENERGY COMPANY	N	*	NA	NA	NA	NA
125	402418490	6/12/2020	HILCORP ENERGY COMPANY	N	*	NA	NA	NA	NA
126	402429550	6/26/2020	HILCORP ENERGY COMPANY	N	*	NA	NA	NA	NA
127	402283860	1/14/2020	HRM RESOURCES II LLC	N	*	NA	NA	NA	NA
128	402418533	6/12/2020	HRM RESOURCES II LLC	N	*	NA	NA	NA	NA
129	402465161	8/31/2020	HUNTER RIDGE ENERGY SERVICES LLC	N	*	NA	NA	NA	NA
130	402410781	7/22/2020	INSPIRE OIL & GAS INC	N	*	NA	NA	NA	NA
131	402283862	1/14/2020	JAVERNICK OIL	N	*	NA	NA	NA	NA
132	402009800	3/12/2020	KERR MCGEE OIL & GAS ONSHORE LP	Y	200300060	709	Y	\$18,250,000	4/13/2020
133	402410124	6/2/2020	KERR MCGEE OIL & GAS ONSHORE LP	N	*	NA	NA	NA	NA
134	402418527	6/12/2020	KERR MCGEE OIL & GAS ONSHORE LP	N	*	NA	NA	NA	NA
135	402429539	6/26/2020	KERR MCGEE OIL & GAS ONSHORE LP	N	*	NA	NA	NA	NA
136	402453525	8/18/2020	KERR MCGEE OIL & GAS ONSHORE LP	N	*	NA	NA	NA	NA
137	402410137	6/2/2020	KINDER MORGAN CO2 CO LP	N	*	NA	NA	NA	NA
138	402418470	6/12/2020	KINDER MORGAN CO2 CO LP	N	*	NA	NA	NA	NA
139	402429546	6/26/2020	KINDER MORGAN CO2 CO LP	N	*	NA	NA	NA	NA
140	402453544	8/18/2020	KINDER MORGAN CO2 CO LP	N	*	NA	NA	NA	NA
141	402363430	4/7/2020	KP KAUFFMAN COMPANY INC	N	*	NA	NA	NA	NA
142	402372190	4/28/2020	KP KAUFFMAN COMPANY INC	N	*	NA	NA	NA	NA
143	402410159	6/2/2020	KP KAUFFMAN COMPANY INC	N	*	NA	NA	NA	NA
144	402418525	6/12/2020	KP KAUFFMAN COMPANY INC	N	*	NA	NA	NA	NA
145	402429490	6/26/2020	KP KAUFFMAN COMPANY INC	N	*	NA	NA	NA	NA
146	402373684	7/16/2020	KP KAUFFMAN COMPANY INC	N	*	NA	NA	NA	NA
147	402453621	8/18/2020	KP KAUFFMAN COMPANY INC	N	*	NA	NA	NA	NA
148	402494406	9/21/2020	KP KAUFFMAN COMPANY INC	N	201100262	NA	NA	NA	NA
149	402523412	11/2/2020	KP KAUFFMAN COMPANY INC	N	201100261	NA	NA	NA	NA
150	402418555	6/12/2020	KTM OPERATING LLC	N	*	NA	NA	NA	NA
151	402429518	6/26/2020	KTM OPERATING LLC	N	*	NA	NA	NA	NA
152	402453653	8/18/2020	KTM OPERATING LLC	N	*	NA	NA	NA	NA
153	402283868	1/14/2020	L B EXPLORATION INC	N	*	NA	NA	NA	NA
154	402277050	1/3/2020	LARAMIE ENERGY LLC	N	200600160	NA	NA	NA	NA
155	402287589	1/16/2020	LARAMIE ENERGY LLC	N	200600160	NA	NA	NA	NA
156	402418520	6/12/2020	LARAMIE ENERGY LLC	N	*	NA	NA	NA	NA
157	402429520	6/26/2020	LARAMIE ENERGY LLC	N	*	NA	NA	NA	NA
158	402453556	8/18/2020	LARAMIE ENERGY LLC	N	*	NA	NA	NA	NA
159	402283869	1/14/2020	LASO OIL & GAS LLC	N	*	NA	NA	NA	NA
160	402406776	5/29/2020	LASO OIL & GAS LLC	N	*	NA	NA	NA	NA
161	402418497	6/12/2020	LASO OIL & GAS LLC	N	*	NA	NA	NA	NA
162	402429557	6/26/2020	LASO OIL & GAS LLC	N	*	NA	NA	NA	NA
163	402418536	6/12/2020	LINDE INC	N	*	NA	NA	NA	NA
164	402418501	6/12/2020	LOCIN OIL CORPORATION	N	*	NA	NA	NA	NA
165	402429567	6/26/2020	LOCIN OIL CORPORATION	N	*	NA	NA	NA	NA
166	402453538	8/18/2020	LOCIN OIL CORPORATION	N	*	NA	NA	NA	NA
167	402418572	6/12/2020	LOGOS OPERATING LLC	N	*	NA	NA	NA	NA
168	402429522	6/26/2020	LOGOS OPERATING LLC	N	*	NA	NA	NA	NA
169	402453613	8/18/2020	LONGS PEAK RESOURCES LLC	N	*	NA	NA	NA	NA
170	402406796	5/29/2020	LUNDVALL OIL & GAS INC	N	*	NA	NA	NA	NA
171	402287369	1/16/2020	M E III CORPORATION	N	*	NA	NA	NA	NA
172	402418480	6/12/2020	MALLARD EXPLORATION LLC	N	*	NA	NA	NA	NA

	NOAV No.	NOAV Date	Operator Name	Closed (Y/N)	Docket No.	Order No. (1V-)	Penalty (Y/N)	Penalty Amt.	Resolve Date
173	402429556	6/26/2020	MALLARD EXPLORATION LLC	N	*	NA	NA	NA	NA
174	402410195	6/2/2020	MARALEX RESOURCES INC	N	201000250	NA	NA	NA	NA
175	402418482	6/12/2020	MARALEX RESOURCES INC	N	201000250	NA	NA	NA	NA
176	402429554	6/26/2020	MARALEX RESOURCES INC	N	201000250	NA	NA	NA	NA
177	402474703	8/25/2020	MARALEX RESOURCES INC	N	201000250	NA	NA	NA	NA
178	402474985	8/26/2020	MARALEX RESOURCES INC	N	201000250	NA	NA	NA	NA
179	402283873	1/14/2020	MARKUS PRODUCTION, INC	N	*	NA	NA	NA	NA
180	402287807	1/16/2020	MCCLANE ENERGY INC	N	*	NA	NA	NA	NA
181	402418496	6/12/2020	MCCLANE ENERGY INC	N	*	NA	NA	NA	NA
182	402429570	6/26/2020	MCCLANE ENERGY INC	N	*	NA	NA	NA	NA
183	402453655	8/18/2020	MCCLANE ENERGY INC	N	*	NA	NA	NA	NA
184	402283875	1/14/2020	MULL DRILLING COMPANY INC	N	*	NA	NA	NA	NA
185	402283876	1/14/2020	NATURAL RESOURCE GROUP INC	N	*	NA	NA	NA	NA
186	402465187	8/12/2020	NATURAL RESOURCE GROUP INC	N	*	NA	NA	NA	NA
187	402283899	1/14/2020	NOBLE ENERGY INC	N	201000249	NA	NA	NA	NA
188	402287484	1/16/2020	NOBLE ENERGY INC	N	*	NA	NA	NA	NA
189	402287500	1/16/2020	NOBLE ENERGY INC	N	*	NA	NA	NA	NA
190	402287511	1/16/2020	NOBLE ENERGY INC	N	*	NA	NA	NA	NA
191	402287535	1/16/2020	NOBLE ENERGY INC	N	*	NA	NA	NA	NA
192	402287540	1/16/2020	NOBLE ENERGY INC	N	*	NA	NA	NA	NA
193	402410203	6/2/2020	NOBLE ENERGY INC	N	*	NA	NA	NA	NA
194	402418565	6/12/2020	NOBLE ENERGY INC	N	*	NA	NA	NA	NA
195	402429535	6/26/2020	NOBLE ENERGY INC	N	*	NA	NA	NA	NA
196	402453572	8/18/2020	NOBLE ENERGY INC	N	*	NA	NA	NA	NA
197	402453822	10/9/2020	NOBLE ENERGY INC	N	*	NA	NA	NA	NA
198	402418478	6/12/2020	NONSUCH NATURAL GAS INC	N	*	NA	NA	NA	NA
199	402429502	6/26/2020	NONSUCH NATURAL GAS INC	N	*	NA	NA	NA	NA
200	402283885	1/14/2020	O'BRIEN ENERGY RESOURCES CORP	N	*	NA	NA	NA	NA
201	402287802	1/16/2020	OVERLAND RESOURCES LLC	N	*	NA	NA	NA	NA
202	402406821	5/29/2020	OVERLAND RESOURCES LLC	N	*	NA	NA	NA	NA
203	402283892	1/14/2020	OWN RESOURCES OPERATING LLC	N	*	NA	NA	NA	NA
204	402418551	6/12/2020	OWN RESOURCES OPERATING LLC	N	*	NA	NA	NA	NA
205	402418567	6/12/2020	OXY USA INC	N	*	NA	NA	NA	NA
206	402453604	8/18/2020	OXY USA INC	N	*	NA	NA	NA	NA
207	402287753	1/16/2020	PAINE* JOSEPH J.C. DBA PAINE PETROLEUM COMPANY LLC	N	*	NA	NA	NA	NA
208	402418481	6/12/2020	PAINTED PEGASUS PETROLEUM LLC	N	*	NA	NA	NA	NA
209	402483488	9/8/2020	PAINTED PEGASUS PETROLEUM LLC	N	*	NA	NA	NA	NA
210	402523851	11/2/2020	PAINTED PEGASUS PETROLEUM LLC	N	*	NA	NA	NA	NA
211	402283893	1/14/2020	PARADOX UPSTREAM LLC	N	*	NA	NA	NA	NA
212	402418549	6/12/2020	PCR OPERATING LLC	N	201100264	NA	NA	NA	NA
213	402431005	6/25/2020	PCR OPERATING LLC	N	201100264	NA	NA	NA	NA
214	402429563	6/26/2020	PCR OPERATING LLC	N	201100264	NA	NA	NA	NA
215	402453588	8/18/2020	PCR OPERATING LLC	N	201100264	NA	NA	NA	NA
216	402410211	6/2/2020	PDC ENERGY INC	N	*	NA	NA	NA	NA
217	402418507	6/12/2020	PDC ENERGY INC	N	*	NA	NA	NA	NA
218	402429571	6/26/2020	PDC ENERGY INC	N	*	NA	NA	NA	NA
219	402453658	8/18/2020	PDC ENERGY INC	N	*	NA	NA	NA	NA
220	402470674	8/19/2020	PDC ENERGY INC	N	*	NA	NA	NA	NA
221	402533817	11/16/2020	PDC ENERGY INC	N	*	NA	NA	NA	NA
222	402283894	1/14/2020	PETROSHARE CORPORATION	Y	190700482; 190700483; 190700484	726	Y	\$726,100	6/10/2020
223	402283895	1/14/2020	PHOENIX RESOURCES LLC	N	*	NA	NA	NA	NA
224	402363471	4/8/2020	PHOENIX RESOURCES LLC	N	*	NA	NA	NA	NA
225	402429537	6/26/2020	PILAND RESOURCES	N	*	NA	NA	NA	NA
226	402418559	6/12/2020	POC-I LLC	N	*	NA	NA	NA	NA
227	402429559	6/26/2020	POC-I LLC	N	*	NA	NA	NA	NA
228	402440710	7/9/2020	POC-I LLC	N	*	NA	NA	NA	NA
229	402406828	5/29/2020	PRODUCTION MANAGEMENT INC	N	*	NA	NA	NA	NA
230	402418512	6/12/2020	PROSPECT ENERGY LLC	N	*	NA	NA	NA	NA
231	402418515	6/12/2020	PUCKETT ENERGY CO	N	*	NA	NA	NA	NA
232	402418518	6/12/2020	RED MESA HOLDINGS/O&G LLC	N	*	NA	NA	NA	NA
233	402418503	6/12/2020	RETAMCO OPERATING INC	N	*	NA	NA	NA	NA
234	402429530	6/26/2020	RETAMCO OPERATING INC	N	*	NA	NA	NA	NA
235	402287738	1/16/2020	SANDRIDGE EXPLORATION & PRODUCTION LLC	N	*	NA	NA	NA	NA
236	402418494	6/12/2020	SANTA FE NATURAL RESOURCES INC	N	*	NA	NA	NA	NA
237	402453638	8/18/2020	SCHNEIDER ENERGY SERVICES INC	N	*	NA	NA	NA	NA
238	402453592	8/18/2020	SEELEY OIL COMPANY LLC	N	*	NA	NA	NA	NA
239	402418531	6/12/2020	SMITH ENERGY CORP	N	*	NA	NA	NA	NA
240	402429552	6/26/2020	SMITH ENERGY CORP	N	*	NA	NA	NA	NA
241	402453529	8/18/2020	SMITH ENERGY CORP	N	*	NA	NA	NA	NA
242	402418563	6/12/2020	SMITH OIL PROPERTIES INC	N	*	NA	NA	NA	NA
243	402498973	9/28/2020	SMITH OIL PROPERTIES INC	N	*	NA	NA	NA	NA
244	402409058	6/3/2020	ST CROIX OPERATING INC	N	*	NA	NA	NA	NA
245	402464376	8/11/2020	SWN PRODUCTION COMPANY LLC	N	*	NA	NA	NA	NA
246	402464381	8/11/2020	SWN PRODUCTION COMPANY LLC	N	*	NA	NA	NA	NA
247	402464387	8/11/2020	SWN PRODUCTION COMPANY LLC	N	*	NA	NA	NA	NA
248	402464396	8/11/2020	SWN PRODUCTION COMPANY LLC	N	*	NA	NA	NA	NA
249	402464398	8/11/2020	SWN PRODUCTION COMPANY LLC	N	*	NA	NA	NA	NA
250	402464406	8/11/2020	SWN PRODUCTION COMPANY LLC	N	*	NA	NA	NA	NA
251	402464407	8/11/2020	SWN PRODUCTION COMPANY LLC	N	*	NA	NA	NA	NA
252	402410217	6/2/2020	TEP ROCKY MOUNTAIN LLC	N	*	NA	NA	NA	NA
253	402418472	6/12/2020	TEP ROCKY MOUNTAIN LLC	N	*	NA	NA	NA	NA
254	402429492	6/26/2020	TEP ROCKY MOUNTAIN LLC	N	*	NA	NA	NA	NA
255	402453625	8/18/2020	TEP ROCKY MOUNTAIN LLC	N	*	NA	NA	NA	NA
256	402375558	4/30/2020	TIDAL WAVE ENERGY INC	N	*	NA	NA	NA	NA

	NOAV No.	NOAV Date	Operator Name	Closed (Y/N)	Docket No.	Order No. (1V-)	Penalty (Y/N)	Penalty Amt.	Resolve Date
257	402287777	1/16/2020	TIGGES OIL LLC	N	*	NA	NA	NA	NA
258	402418523	6/12/2020	TOM FENNO PRODUCTION LLC	N	*	NA	NA	NA	NA
259	402418575	6/12/2020	TUDEX PETROLEUM INC	N	*	NA	NA	NA	NA
260	402418529	6/12/2020	UNIT PETROLEUM COMPANY	N	*	NA	NA	NA	NA
261	402287781	1/16/2020	UTAH GAS OP LTD DBA UTAH GAS CORP	N	*	NA	NA	NA	NA
262	402418522	6/12/2020	VESSELS COAL GAS INC	N	*	NA	NA	NA	NA
263	402453593	8/18/2020	VESSELS COAL GAS INC	N	*	NA	NA	NA	NA
264	402454798	7/28/2020	WARD & SON* ALFRED	N	*	NA	NA	NA	NA
265	402410224	6/2/2020	WESCO OPERATING INC	N	*	NA	NA	NA	NA
266	402283923	1/14/2020	WESTERN OPERATING COMPANY	N	*	NA	NA	NA	NA
267	402277076	1/16/2020	WESTERN OPERATING COMPANY	N	*	NA	NA	NA	NA
268	402282191	1/16/2020	WESTERN OPERATING COMPANY	N	*	NA	NA	NA	NA
269	402332358	3/6/2020	WOLVERINE RESOURCES LLC	N	*	NA	NA	NA	NA
270	402418557	6/12/2020	WOLVERINE RESOURCES LLC	N	*	NA	NA	NA	NA
271	402429561	6/26/2020	WOLVERINE RESOURCES LLC	N	*	NA	NA	NA	NA
272	402453586	8/18/2020	WOLVERINE RESOURCES LLC	N	*	NA	NA	NA	NA
273	402462134	8/7/2020	WOODARD* SANDRA K	N	*	NA	NA	NA	NA
274	402462147	8/7/2020	WOODARD* SANDRA K	N	*	NA	NA	NA	NA
275	402462164	8/7/2020	WOODARD* SANDRA K	N	*	NA	NA	NA	NA
276	402453546	8/18/2020	WY WOODLAND OPERATING LLC	N	*	NA	NA	NA	NA
277	402418542	6/12/2020	WYMAN* LOUIS M DBA WYMAN INC	N	*	NA	NA	NA	NA
278	402418505	6/12/2020	X FIELD GAS LLC	N	*	NA	NA	NA	NA
279	402429500	6/26/2020	X FIELD GAS LLC	N	*	NA	NA	NA	NA
280	402453595	8/18/2020	X FIELD GAS LLC	N	*	NA	NA	NA	NA
281	402418476	6/12/2020	XTO ENERGY INC	N	*	NA	NA	NA	NA
282	402453531	8/18/2020	XTO ENERGY INC	N	*	NA	NA	NA	NA

*Following a rule change that became effective 9/30/19, NOAVs are assigned a docket number when they are noticed for hearing.