

**Colorado Oil and Gas Conservation
Commission**

2016 Annual Violations and Penalties Report

Under Executive Order No. D 2013-004

December 10, 2016

Introduction

Executive Order D 2013-004, issued by Governor John W. Hickenlooper on May 8, 2013, directed the Colorado Oil and Gas Conservation Commission (“Commission”) to review its enforcement program, penalty structure, and imposition of fines, and by December 10 each year, report “on all violations, any and all penalties imposed regarding violations, and the rationale for the calculation of final penalty assessments, including fines.”

This report satisfies the annual requirement to report on violations and penalties described above.

This Report provides statistics at the Notice of Alleged Violation (“NOAV”) level and at the Enforcement Order level. Contents include the following tables:

1. 2016 Commission Enforcement Orders Summary
2. 2016 Commission Enforcement Orders
3. 2016 Commission Notices of Alleged Violation Summary
4. 2016 Commission Notices of Alleged Violation

Enforcement Order statistics are based on 2016 Commission hearings through October 24, 2016.

NOAV statistics are based on NOAVs issued from January 1, 2016 through November 30, 2016.

One additional Commission meeting will be held on December 12-13, 2016. A supplemental report covering all of 2016 enforcement orders and NOAVs will be posted to the Commission’s website in January 2017.

2016 Commission Enforcement Orders Report

Table 1 summarizes all Commission Enforcement Orders issued in 2016, through October 24, 2016. Commission Enforcement Orders issued in 2016 resolved Notices of Alleged Violation issued during the current or in previous years. A Commission Enforcement Order results from the Commission issuing an Order Finding Violation (“OFV”) after a hearing or approving an Administrative Order by Consent (“AOC”) that Commission Staff and the operator negotiated.

Table 2 lists information specific to each Enforcement Order, including the violations alleged in each underlying NOAV; total penalty imposed; and factors that contributed to the penalty calculation.

Table 1: 2016 Commission Enforcement Orders Summary

Total Orders			47
	AOCs		37
	OFVs		6
	Other (Bond Foreclosures)		4
Total No. of NOAVs Resolved by Orders			88
Total No. of Cited Violations Resolved			160
Orders with Penalties			43
Orders without Penalties			4
	Total Penalties		\$4,532,601
	Suspended Penalties		\$920,320
	Maximum Penalty		\$1,267,555
	Minimum Penalty		\$1,875
	Average Penalty		\$105,409
	Median Penalty		\$33,000

Table 2: 2016 Commission Enforcement Orders

Includes Orders through October 24, 2016

Operator	Operator No.	NOAV Date	NOAV Nos.	Violations in Order	Penalty (Total)	Penalty (Suspended)	Penalty Days	Daily Penalty	Aggravating Factors	Mitigating Factors	Pattern of Violation (Yes/No)	Gross Negligence or Knowing & Willful Misconduct (Yes/No)	AOC or OFV	Other Rationale	Docket No.	Order No. (1V)	Final Order Date
8 North, LLC	10575	6/17/2016	401063543	309	\$3,680	N/A	210	\$17.52	None	None	No	No	AOC	Policy Table Value; Duration Matrix	160800309	591	8/29/2016
Atom Petroleum LLC	10434	6/23/2015; 6/17/2015; 2/11/2016; 3/23/2016	2157885; 2618137; 400963987; 401005448	308A; 308B; 309; 319.b.; 326.c.; 210.d.; 605.a.; 804; 906.a.; 907; §34-60-121(1) C.R.S.	\$239,469	N/A	4450	\$54	4	None	No	No	OFV	Commission Hearing	160400073	586	6/6/2016
Benchmark Energy LLC	10380	8/31/2015; 12/11/2015; 8/19/2015; 8/31/2015	200437036; 400939440; 200436808; 200437037	§34-60-121(1), C.R.S.; 906.a. (7); 906.b. (6); 309; 326; 1003.a; 523.d.(3)	\$1,267,555	N/A	5009	\$253	None	None	Yes	No	OFV	Commission Hearing	160100074	564	1/26/2016
Black Hills Plateau Production LLC	10150	1/13/2016	400859025	§34-60-121(1) C.R.S.	\$20,000	N/A	4	\$5,000	None	2, 6	No	No	AOC	Policy Table Value	160300103	588	6/6/2016
Bonanza Creek Energy Operating Comp. LLC	8960	11/16/2015	200437972	318.A.f	\$1,875	N/A	1	\$1,875	None	None	No	No	AOC	Settlement Inducement	160100007	559	1/26/2016
BP America Production	10000	9/24/2015; 9/14/2015	200437370; 200437191	316C; 1102	\$12,500	N/A	2	\$6,250	None	None	No	No	AOC	Policy Table Values	160100075	557	1/26/2016
Carrizo Niobrara	10439	10/9/2015	1898000	912	\$40,000	N/A	223	\$179	None	None	No	No	AOC	Policy Table Value; Settlement Inducement	160100076	589	7/19/2016
Cascade Petroleum LLC	10414	9/25/2015	1897993	912.b	\$20,000	N/A	200	\$100	None	6	No	No	AOC	Policy Table Values; Duration Matrix; Rule Reclassification; Settlement Inducement	160100077	560	1/26/2016
Cliff J. Nolte LLC	10357	8/18/2015	1352107; 1352108	308; 309; 311; 317.p.	N/A	N/A	N/A	N/A	None	None	No	No	Bond Call	Deceased Operator	160300081	582	6/6/2016
CM Production LLC	10352	11/21/2014 (1); 6/18/2015 (5); 8/27/2015 (1)	200417500; 2618140, 261841, 261842; 261843; 261844, 5229352	§34-60-121(1) C.R.S.; 326; 707.a.	\$392,140	\$64,510	3572	\$110	None	None	No	No	OFV	\$150,000 from Director's Demand for Payment under prior Orders instead of imposing new penalty for Docket No. 151000676	151000676; 160100078; 160100079	569	3/7/2016
CM Production LLC	10352	11/25/2015	200438048	§34-60-121(1) C.R.S.	\$359,650	N/A	227	\$1,584	None	None	Yes	No	OFV	Commission Hearing	160100080	581	6/6/2016
Dakota Exploration, LLC	10354	4/5/2016 (2); 7/11/2015	400984454; 400984767; 401067209	210 (2); 309 (2); 326.b; 603.f (2);605.a(4); 605.b (2);1002.f; 1003 (2)	\$172,698	\$150,000	5552	\$31	None	None	No	No	AOC	Policy Table Value; Duration Matrix; Settlement Inducement; Consolidation; Proportionality Adjustment; Ability to Pay	160700208	595	8/29/2016
DCP Midstream LP	4680	7/23/2015	200436084	906; 907	\$32,500	\$8,125	13	\$2,500	None	None	No	No	AOC	Policy Table Values; Duration Matrix; Suspension	151200560	561	1/26/2016
Diversified Energy, LLC	10428	10/16/2015	200437756	605.a.(4); 906	\$65,700	\$55,700	96	\$684	None	None	No	No	AOC	Policy Table Value with Duration Matrix	160100002	567	3/7/2016
Encana Oil & Gas (USA) Inc.	100185	2/23/2016	400992466	308A; 308B; 312; 317.p	\$120,000	\$55,000	135	\$889	None	None	No	No	AOC	Policy Table Values; Duration Matrix; Settlement Inducement	160600196	594	10/24/2016
Extraction Oil & Gas LLC	10459	1/6/2016	400961276	§ 34-60-121(1) C.R.S.	\$30,000	N/A	6	\$5,000	None	None	No	No	AOC	Policy Table Value	160400142	572	4/18/2016
Extraction Oil & Gas LLC	10459	9/25/2015	200437407	912.b	\$33,000	\$14,350	26	\$1,269	None	None	No	No	AOC	Policy Table Value; Reclassification; Duration Matrix; Suspension	160400089	576	6/6/2016
Extraction Oil & Gas LLC	10459	3/24/2016	401002330	303, 1002.f	\$62,000	\$25,000	44	\$1,409	None	None	No	No	AOC	Policy Table Value; Duration Matrix; Suspension	160700258	596	8/29/2016

Operator	Operator No.	NOAV Date	NOAV Nos.	Violations in Order	Penalty (Total)	Penalty (Suspended)	Penalty Days	Daily Penalty	Aggravating Factors	Mitigating Factors	Pattern of Violation (Yes/No)	Gross Negligence or Knowing & Willful Misconduct (Yes/No)	AOC or OFV	Other Rationale	Docket No.	Order No. (1V)	Final Order Date
Extraction Oil & Gas LLC	10459	8/5/2016	401089682; 401089686; 401089672; 401089692; 401089657; 401089667; 401089661; 401089697; 401066622; 401089675; 401089701	603.a (11)	\$27,500	N/A	11	\$2,500	None	None	No	No	AOC	Policy Table Values	161000387	599	10/24/2016
Great Western Operating Company LLC	10110	8/24/2015	200436875	308A; 308B; 309.a	\$74,941	N/A	359	\$209	None	None	No	No	AOC	Policy Table Value; Settlement Inducement	151200658	563	1/26/2016
Grynberg, Jack DBA Grynberg Petroleum Co.	36200	8/24/2015	200436876	607	\$3,500	N/A	369	\$9.49	None	None	No	No	AOC	Consolidation; Rule Reclassification; Settlement Inducement	160300091	565	3/7/2016
Grynberg, Jack DBA Grynberg Petroleum Co.	36200	9/18/2015	200437259	318A.f.	\$1,875	N/A	1	\$1,875	None	None	No	No	AOC	Settlement Inducement	160300161	566	3/7/2016
Javernick Oil, LLC	44390	10/27/2015	200437826	308A; 309	\$10,686	\$6,686	461	\$23	None	None	No	No	AOC	Duration Matrix; Ability to Pay, Settlement Inducement; Suspension	160300003	575	4/18/2016
Kerr-McGee Oil & Gas Onshore LP	47120	2/3/2016	400980802	317.p	\$2,000	N/A	1	\$2,000	None	None	No	No	AOC	Policy Table Value; Settlement Inducement	160400164	574	4/18/2016
Mackley, Arnold and Darleen	10043	N/A	N/A	N/A	N/A	N/A	N/A	N/A	None	None	No	No	Bond Call	Bond Call; Domestic Well	160700305	587	7/19/2016
Merit Energy Company	56565	8/9/2016	401083040	311	\$2,198	N/A	52	\$42	None	None	No	No	AOC	Policy Table Values; Duration Matrix; Settlement Inducement	161000389	602	10/24/2016
Monument Global Resources Inc.	10430	5/1/2013; 6/23/2015	200379257; 200379270; 200434664	906.a.(4 violations); 906.c.(1)/909.e.(2) (3 violations); 906.b. (2 violations); 906.c. (1 violation); 906.d. (1 violation); 1102.a.(1) (2 violations)	\$100,950	\$54,925	313	\$323	None	None	No	No	AOC	Statutory Maximum; Inability to Pay; Settlement inducement	150900160	541	3/7/2016
Monument Global Resources Inc.	10430	3/27/2015	200427365	326.f	\$65,590	\$50,000	607	\$108	None	None	No	No	AOC	Policy Table Value; Duration Matrix; Ability to Pay, Suspension	150900486	577	4/18/2016
Monument Global Resources Inc.	10430	8/31/2016	401102188; 401102202	§34-60-121(1), C.R.S. ; 522.f	\$293,800	N/A	275	\$1,068	None	None	Yes	No	OFV	Consolidation; Policy Table Values; Duration Matrix; Proportionality Adjustment	161000401	600	10/24/2016
PDC Energy Inc.	69175	3/17/2016; 4/15/2016	400994763; 401026439	308A; 308B; 312; 316C.a	\$62,325	N/A	522	\$119	None	2	No	No	AOC	Policy Table Value; Duration Matrix; Settlement Inducement	160600198	593	8/29/2016
Petrox Resources, Inc.	69805	11/10/2015	200437910	1003.d.(2)	\$10,000	N/A	\$27	\$370	None	None	No	No	AOC	Proportionality Adjustment; Duration Matrix; Settlement Inducement	160400009	585	7/19/2016
Piceance Energy	10433	9/29/2015 (2)	200437586; 200437631	316C.a (2)	\$7,800	N/A	16	\$488	None	None	No	No	AOC	Policy Table Value	160100082	562	1/26/2016
Premier Oil & Gas Inc.	10236	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Bond Call	N/A	160800310	592	10/24/2016
Rancho Tres	73372	9/16/2015	2559405	None	None	N/A	None	None	None	None	No	No	Bond Call	Domestic Well (Orphaned)	160300095	570	3/7/2016
Renegade Oil & Gas Company LLC	74165	7/23/2015	200436071	205.f.; 907.a.(1)	\$22,446	\$11,223	139	\$161	None	None	No	No	AOC	Policy Table Value; Settlement Inducement; Suspension	160300096	568	3/7/2016
Sandra K. Woodard	10342	8/4/2016	401082021; 401082126; 401082150	309 (3)	\$8,192	\$7,373	591	\$14	None	None	No	No	AOC	Policy Table Values; Duration Matrix; Settlement Inducement; Inability to Pay	161000386	598	10/24/2016

Operator	Operator No.	NOAV Date	NOAV Nos.	Violations in Order	Penalty (Total)	Penalty (Suspended)	Penalty Days	Daily Penalty	Aggravating Factors	Mitigating Factors	Pattern of Violation (Yes/No)	Gross Negligence or Knowing & Willful Misconduct (Yes/No)	AOC or OFV	Other Rationale	Docket No.	Order No. (1V)	Final Order Date
Santa Fe Natural Resources, LLC	10525	6/11/2015	2618136	309; 326	\$36,150	\$18,075	632	\$57	None	None	No	No	AOC	Policy Table Values; Duration Matrix; Settlement Inducement; Ability to Pay; Suspension	151000473	558	1/25/2016
Sunburst Inc.	83555	1/25/2016	400967807	205A; 303.a.(1)D; 308A; 308B; 309	\$29,000	\$28,000	669	\$43	None	None	No	No	AOC	Settlement Inducement; Duration Matrix; Inability to Pay	160600163	597	10/24/2016
Synergy Resources Corporation	10311	6/23/2015	1772582; 1772583; 1772584; 1772585; 200434693	308A; 309; 316C	\$79,275	N/A	264	\$300	None	6	No	No	AOC	Policy Table Values; Duration Matrix; Settlement Inducement	151000487	571	3/7/2016
Synergy Resources Corporation	10311	6/23/2015 (5)	1772582; 1772583; 1772584; 1772585; 200434693	308A; 309; 316C	\$146,250	\$146,250	13	\$11,250	None	4, 6	No	No	AOC	Policy Table Values; Duration Matrix; Settlement Inducement	151000487	603	10/24/2016
Texas Tea of Colorado, LLC, aka Texas Tea, LLC	87195	3/18/2015; 4/29/2015 (2); 9/28/2015;	200426655; 200430624; 200430630; 200437494	319; 326.c; 326(2); 906; 1102.d; §34-60-121(1) C.R.S.	\$323,500	N/A	475	\$681	None	None	Yes	No	OFV	Policy Table Value; Duration Matrix	160300097	573	4/18/2016
Ursa Operating Company LLC	10447	2/26/2016	400987806	317.p	\$2,000	N/A	1	\$2,000	None	None	No	No	AOC	Policy Table Value; Consolidation; Settlement Inducement	160400195	579	6/6/2016
Ursa Operating Company LLC	10447	2/9/2016	400976773; 400983780; 400983954	605.d; 805.a	\$20,625	N/A	6	\$3,438	None	Unspecified	No	No	AOC	Consolidation; Policy Table Value; Settlement Inducement	160600165	580	7/19/2016
Wellstar Corporation	95245	12/31/2015	400957128; 400956756	210; 605.a; 906; 1002.f; §34-60-121(1) C.R.S.	\$210,820	\$180,820	1728	\$122	None	None	No	No	AOC	Proportionality Adjustment; Consolidation; Duration Matrix; Suspension	160600141	583	6/6/2016
Wiepking Fullerton Energy LLC	96349	2/11/2016	400986881	907.d. and 1003 (10 counts of each)	\$65,128	\$21,000	626	\$104	None	2	No	No	AOC	Proportionality Adjustment; Consolidation; Duration Matrix	160600193	584	6/6/2016
XOG Operating LLC	10144	7/15/2016 (2)	401078742; 401078727	319b(1) (2); 326.c.(2) (2)	\$43,283	\$23,283	1644	\$26	None	None	No	No	AOC	Consolidation; Settlement Inducement; Duration Matrix; Proportionality Adjustment; Inability to Pay	161000381	601	10/24/2016
XTO Energy Inc.	100264	4/1/2016	401012690	1102	\$10,000	N/A	1	\$10,000	None	1, 2	No	No	AOC	Increased Daily Penalty from Policy Table Value	160600199	590	7/19/2016

Aggravating factors.

- (1) The violator acted with gross negligence or knowing and willful misconduct.
- (2) The violation resulted in significant waste of oil and gas resources.
- (3) The violation had a significant negative impact on correlative rights of other parties.
- (4) The violator was recalcitrant or uncooperative with the Commission or other agencies in correcting or responding to the violation.
- (5) The violator falsified reports or records.
- (6) The violator benefited economically from the violation, in which case the amount of such benefit shall be taken into consideration.
- (7) The violator has engaged in a pattern of violations.

Mitigating factors.

- (1) The violator self-reported the violation.
- (2) The violator demonstrated prompt, effective and prudent response to the violation, including assistance to any impacted parties.
- (3) The cause of the violation was outside of the violator's reasonable control and responsibility, or is customarily considered to be force majeure.
- (4) The violator made a good faith effort to comply with applicable requirements prior to the Commission learning of the violation.
- (5) The cost of correcting the violation reduced or eliminated any economic benefit to the violator, excluding circumstances in which increased costs stemmed from non-compliance.
- (6) The violator has demonstrated a history of compliance with the Act, and Commission rules, orders, and permits.

2016 Commission Notices of Alleged Violation Report (Through November 30, 2016)

Table 3 summarizes all Notices of Alleged Violations (“NOAVs”) the Commission issued from January 1, 2016, through November 30, 2016, and indicates whether a NOAV has been resolved (“closed”) or is still pending (“open”). For each 2016 NOAV, Table 4 indicates whether it is open or closed, and as appropriate, whether a penalty was imposed, the penalty amount, and other relevant information. Withdrawn NOAVs are not included in the statistics.

The following statistics are for those NOAVs issued as of November 30, 2016

Table 3: 2016 Commission Notices of Alleged Violation Summary

Total NOAVs Issued (1/1/2016 through 11/30/2016) (Does not include withdrawn NOAVs)		101
Total Open		62
Total Resolved		39
		39 % Of Total Resolved
Resolved by Order With Penalty		39
Resolved by Order Without Penalty		0
Resolved Without Penalty		0
Total Penalties Assessed	\$1,156,788	
Maximum Penalty Assessed	\$152,200	
Minimum Penalty Assessed	\$2,000	
Average Penalty Assessed	\$29,661	
Median Penalty Assessed	\$6,875	

Table 4: 2016 Commission Notices of Alleged Violation

NOAVs Issued from January 1, 2016 through November 30, 2016

	NOAV No.	NOAV Date	Operator Name	Resolve Date	Closed (Y/N)	Docket No.	Order No.	Penalty (Y/N)	Penalty Amt.
1	401063543	6/17/2016	8 NORTH LLC	8/29/2016	Y	160800309	591	Y	\$3,680
2	401082880	8/9/2016	ANTLER ENERGY LLC			161000388			
3	400963987	2/11/2016	ATOM PETROLEUM LLC	6/6/2016	Y	160400073	586	Y	\$92,359
4	401005448	3/23/2016	ATOM PETROLEUM LLC	6/6/2016	Y	160400073	586	Y	\$90,000
5	401089534	9/14/2016	AUS-TEX EXPLORATION INC			161200479			
6	401121017	10/28/2016	BAYSWATER EXPLORATION AND PRODUCTION LLC			170100008			
7	401121857	10/28/2016	BAYSWATER EXPLORATION AND PRODUCTION LLC			170100008			
8	401122866	10/28/2016	BAYSWATER EXPLORATION AND PRODUCTION LLC			170100008			
9	401122915	10/28/2016	BAYSWATER EXPLORATION AND PRODUCTION LLC			170100008			
10	401123785	10/28/2016	BAYSWATER EXPLORATION AND PRODUCTION LLC			170100008			
11	401123787	10/28/2016	BAYSWATER EXPLORATION AND PRODUCTION LLC			170100008			
12	401124027	10/28/2016	BAYSWATER EXPLORATION AND PRODUCTION LLC			170100008			
13	401124029	10/28/2016	BAYSWATER EXPLORATION AND PRODUCTION LLC			170100008			
14	401124032	10/28/2016	BAYSWATER EXPLORATION AND PRODUCTION LLC			170100008			
15	401124042	10/28/2016	BAYSWATER EXPLORATION AND PRODUCTION LLC			170100008			
16	401132217	10/28/2016	BAYSWATER EXPLORATION AND PRODUCTION LLC			170100008			
17	401083038	10/27/2016	BECCA OIL LLC			170100001			
18	400943722	1/13/2016	BLACK HILLS PLATEAU PRODUCTION LLC	6/6/2016	Y	160300103	588	Y	\$20,000
19	401047564	6/8/2016	BLACK HILLS PLATEAU PRODUCTION LLC			161200307			
20	401133244	11/23/2016	CHEVRON USA INC			170300024			
21	400984454	4/5/2016	DAKOTA EXPLORATION LLC	8/29/2016	Y	160700208	595	Y	\$75,806
22	400984767	4/5/2016	DAKOTA EXPLORATION LLC	8/29/2016	Y	160700208	595	Y	\$78,575
23	401067209	7/11/2016	DAKOTA EXPLORATION LLC	8/29/2016	Y	160700208	595	Y	\$18,317
24	400992466	2/23/2016	ENCANA OIL & GAS (USA) INC	10/24/2016	Y	160600196	594	Y	\$120,000
25	401077780	7/15/2016	ENTEK GRB LLC			161200379			
26	400961276	1/6/2016	EXTRACTION OIL & GAS LLC	4/18/2016	Y	160400142	572	Y	\$30,000
27	401002330	3/24/2016	EXTRACTION OIL & GAS LLC	8/29/2016	Y	160700258	596	Y	\$62,000
28	401066622	8/5/2016	EXTRACTION OIL & GAS LLC	10/24/2016	Y	161000387	599	Y	\$2,500
29	401089657	8/5/2016	EXTRACTION OIL & GAS LLC	10/24/2016	Y	161000387	599	Y	\$2,500
30	401089661	8/5/2016	EXTRACTION OIL & GAS LLC	10/24/2016	Y	161000387	599	Y	\$2,500
31	401089667	8/5/2016	EXTRACTION OIL & GAS LLC	10/24/2016	Y	161000387	599	Y	\$2,500
32	401089675	8/5/2016	EXTRACTION OIL & GAS LLC	10/24/2016	Y	161000387	599	Y	\$2,500
33	401089692	8/5/2016	EXTRACTION OIL & GAS LLC	10/24/2016	Y	161000387	599	Y	\$2,500
34	401089697	8/5/2016	EXTRACTION OIL & GAS LLC	10/24/2016	Y	161000387	599	Y	\$2,500
35	401089701	8/5/2016	EXTRACTION OIL & GAS LLC	10/24/2016	Y	161000387	599	Y	\$2,500
36	401089672	8/5/2016	EXTRACTION OIL & GAS LLC	10/24/2016	Y	161000387	599	Y	\$2,500
37	401089686	8/5/2016	EXTRACTION OIL & GAS LLC	10/24/2016	Y	161000387	599	Y	\$2,500
38	401089682	9/8/2016	EXTRACTION OIL & GAS LLC	10/24/2016	Y	161000387	599	Y	\$2,500
39	401083039	10/27/2016	FRITZLER RESOURCES INC			170100002			
40	401074952	7/8/2016	GRYNBERG* JACK DBA GRYNBERG PETROLEUM CO			161200377			
41	401149201	11/16/2016	HIGH PLAINS ENERGY LLC			170300021			
42	401149276	11/16/2016	HIGH PLAINS ENERGY LLC			170300021			
43	401149288	11/16/2016	HIGH PLAINS ENERGY LLC			170300021			
44	401149365	11/16/2016	HIGH PLAINS ENERGY LLC			170300021			
45	401149372	11/16/2016	HIGH PLAINS ENERGY LLC			170300021			
46	401149379	11/16/2016	HIGH PLAINS ENERGY LLC			170300021			
47	401149390	11/16/2016	HIGH PLAINS ENERGY LLC			170300021			
48	401149430	11/16/2016	HIGH PLAINS ENERGY LLC			170300021			
49	401081025	10/27/2016	HRM RESOURCES II LLC			170100003			
50	401081037	10/27/2016	HRM RESOURCES II LLC			170100003			
51	401105510	9/16/2016	HUNTER RIDGE ENERGY SERVICES LLC			161200480			
52	400980802	2/3/2016	KERR MCGEE OIL & GAS ONSHORE LP	4/18/2016	Y	160400164	574	Y	\$2,000
53	401103776	9/23/2016	KERR MCGEE OIL & GAS ONSHORE LP			161200483			
54	401080843	11/16/2016	LILIS ENERGY INC			170300022			
55	401083035	11/16/2016	LOEB LLC* HERMAN L			170300023			
56	401147218	11/10/2016	LONGS PEAK RESOURCES LLC			170300020			
57	401099550	8/26/2016	MARALEX DISPOSAL LLC			161000458			
58	401022828	6/21/2016	MARALEX RESOURCES, INC			161000308			
59	401091611	8/11/2016	MARALEX RESOURCES, INC			161000390			
60	401083040	8/9/2016	MERIT ENERGY COMPANY	10/24/2016	Y	161000389	602	Y	\$2,198
61	401068072	7/15/2016	MERRION OIL & GAS CORP			161000378			
62	401077581	7/15/2016	MERRION OIL & GAS CORP			161000378			
63	401102188	9/1/2016	MONUMENT GLOBAL RESOURCES INC	10/24/2016	Y	161000401	600	Y	\$152,200
64	401102202	9/1/2016	MONUMENT GLOBAL RESOURCES INC	10/24/2016	Y	161000401	600	Y	\$141,600
65	401103177	9/23/2016	NOBLE ENERGY INC			161200484			
66	401078793	7/15/2016	NORTHSTAR GAS COMPANY INC			161200382			
67	401128719	10/27/2016	PAINE* JOSEPH J.C. DBA PAINE PETROLEUM COMPANY LLC			170100004			
68	400994763	3/18/2016	PDC ENERGY INC	8/29/2016	Y	160600198	593	Y	\$18,732
69	401026439	4/15/2016	PDC ENERGY INC	8/29/2016	Y	160600198	593	Y	\$43,593
70	401083135	10/27/2016	PDC ENERGY INC			170100005			
71	401088370	10/27/2016	PETRO MEX RESOURCES			170100006			
72	401139533	11/1/2016	PETROHUNTER OPERATING COMPANY			161200550			
73	401140160	11/1/2016	PETROHUNTER OPERATING COMPANY			161200550			
74	401140347	11/1/2016	PETROHUNTER OPERATING COMPANY			161200550			
75	401078582	8/25/2016	PROSPECT ENERGY LLC			161200403			
76	401108344	9/15/2016	SAN MARCO PETROLEUM INC			161200481			
77	401141492	11/7/2016	SANDRIDGE EXPLORATION & PRODUCTION LLC			170300019			
78	401141635	11/7/2016	SANDRIDGE EXPLORATION & PRODUCTION LLC			170300019			
79	401141661	11/7/2016	SANDRIDGE EXPLORATION & PRODUCTION LLC			170300019			
80	400967807	1/25/2016	SUNBURST INC	10/24/2016	Y	160600163	597	Y	\$29,000
81	401078291	7/14/2016	TYLER ROCKIES EXPLORATION LTD			161000380			
82	400976773	2/9/2016	URSA OPERATING COMPANY LLC	7/19/2016	Y	160600165	580	Y	\$6,875
83	400983780	2/9/2016	URSA OPERATING COMPANY LLC	7/19/2016	Y	160600165	580	Y	\$6,875
84	400983954	2/9/2016	URSA OPERATING COMPANY LLC	7/19/2016	Y	160600165	580	Y	\$6,875
85	400987806	2/26/2016	URSA OPERATING COMPANY LLC	6/6/2016	Y	160400195	579	Y	\$2,000
86	401080106	8/25/2016	WARD & SON* ALFRED			161200402			
87	401082382	8/25/2016	WARD & SON* ALFRED			161200402			
88	401141974	11/3/2016	WARD & SON* ALFRED			170100015			
89	401137225	10/27/2016	WHITING OIL & GAS CORPORATION			170100007			
90	401137427	10/27/2016	WHITING OIL & GAS CORPORATION			170100007			

	NOAV No.	NOAV Date	Operator Name	Resolve Date	Closed (Y/N)	Docket No.	Order No.	Penalty (Y/N)	Penalty Amt.
91	401137435	10/27/2016	WHITING OIL & GAS CORPORATION			170100007			
92	401137436	10/27/2016	WHITING OIL & GAS CORPORATION			170100007			
93	401137440	10/27/2016	WHITING OIL & GAS CORPORATION			170100007			
94	400986881	2/11/2016	WIEPKING-FULLERTON ENERGY LLC	6/6/2016	Y	160600193	584	Y	\$65,128
95	401027413	4/15/2016	WILLIFORD RESOURCES, L.L.C.			160800259			
96	401082021	8/4/2016	WOODARD* SANDRA K	10/24/2016	Y	161000386	598	Y	\$2,731
97	401082126	8/4/2016	WOODARD* SANDRA K	10/24/2016	Y	161000386	598	Y	\$2,731
98	401082150	8/4/2016	WOODARD* SANDRA K	10/24/2016	Y	161000386	598	Y	\$2,731
99	401078727	7/15/2016	XOG OPERATING LLC	10/24/2016	Y	161000381	601	Y	\$21,623
100	401078742	7/15/2016	XOG OPERATING LLC	10/24/2016	Y	161000381	601	Y	\$21,659
101	401012690	4/1/2016	XTO ENERGY INC	7/19/2016	Y	160600199	590	Y	\$10,000